

3763



REV. 09/01  
For A Small Entity

AMS-011A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gerald NMI Dorros et al.  
Application No. : 09/972,225 Confirmation No.: 4566  
Filed : October 4, 2001  
For : APPARATUS AND METHODS FOR TREATING STROKE  
AND CONTROLLING CEREBRAL FLOW  
CHARACTERISTICS  
Group Art Unit : 3763  
Examiner : Cris L. Rodriguez

Hon. Commissioner for Patents  
c/o P. O. Box 2327  
Arlington, VA 22202

RECEIVED  
DEC - 6 2002  
TECHNOLOGY CENTER 2000

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [ ] a Preliminary Amendment;  
 a Reply to Office Action; [ ] a Supplemental Amendment; [ ]  
a substitute Specification; [ ] a Declaration; [ ] a  
Supplemental Declaration; [ ] a Power of Attorney; [ ] an  
Associate Power of Attorney; [ ] formal drawings; to be filed  
in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[ ] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 9 = \$	
INDEPENDENT CLAIMS	-	** =	X \$ 42 = \$	
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$140 = \$	

\* If less than 20, insert 20.

TOTAL \$ \_\_\_\_\_

\*\* If less than 3, insert 3.

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$200.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$460.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$720.00 extension fee for response within fourth month pursuant to 37 C.F.R.

§ 1.136 (a); [ ] \$980.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

- [ ] A check in the amount of [ ] \$55.00; [ ] \$200.00; [ ] \$460.00; [ ] \$720.00; [ ] \$980.00; in payment of the extension fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge the [ ] \$55.00; [ ] \$200.00; [ ] \$460.00; [ ] \$720.00; [ ] \$980.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



Douglas A. Oguss  
Reg. No. 48,469  
Agent for Applicants

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service First Class Mail in an Envelope Addressed to:  
Hon. Commissioner for patents  
P.O. Box 2327. Arlington, VA 22202, on

11-25-2002

LILY X. JIANG

JiXinLi  
Signature of Person Signing

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (650) 617-4000